Training on Socioeconomic Monitoring (SocMon) Methodology for Evaluation of Socioeconomics and Marine Resources Utilization at Selected Coastal Communities in Myanmar

Mawlamyine University, Mon State and Asin Village, Ye Township
9-19 January 2014

Session 1: Objectives/Purposes of Undertaking SocMon (with Sharing of SocMon Experiences)

Michael D Pido, PhD
Vice President for Research and Extension, Palawan State University / Regional Coordinator, Southeast Asia Socioeconomic Monitoring Initiative for Coastal Management
5300 Puerto Princesa City, Palawan, Philippines

1Prepared by Dr Michael Pido, Prof Marissa Pontillas and Ms Eva Marie Ponce de Leon Palawan State University Puerto Princesa City, Philippines / Dr Robert S Pomeroy, University of Connecticut, USA,
Outline

1. SocMon Background
2. Some SocMon Applications
3. Objectives and Scope of SocMon
4. Objectives of SocMon Myanmar Training
5. Overview of Training Program
1.1 Why SocMon?

- Coastal resource managers realize that coastal resources can no longer be managed from a biophysical focus alone.
- It is critical to recognize the close link between coastal resources and socioeconomic context of community.
- Understanding the socioeconomic context of coastal resource stakeholders is essential for assessing, predicting and managing coastal resource use.
1.1 Why SocMon?

• Need for a set of guidelines for establishing a socioeconomic monitoring program at a coastal management site in Southeast Asia
1.2 What is SocMon?

- SocMon is a standardized set of guidelines on how to conduct socioeconomic monitoring for coastal managers.

- SocMon is intended to be a simple methodology for collecting and analyzing basic socioeconomic data useful for coastal management at the site level.

- Focused on 60 socioeconomic indicators + 10 climate change-related indicators.
1.2 What is SocMon?

• SocMon is also intended to provide insight to managers, many of whom come from biology backgrounds, into what “socioeconomics” means, how socioeconomic information can be useful to their management, and what socioeconomic data might be useful for management at their site.

• It is important to emphasize that SocMon is not a rigid set of guidelines. The user of SocMon, the socioeconomic monitoring team, is expected to select indicators and methods appropriate to its site’s needs.
• A socioeconomic monitoring program is a method for identifying, collecting and analyzing social, cultural, economic and political data of people, groups, communities and organizations.
1.3 How does SocMon work?

(1) Advance preparation, including:

- identifying purposes of the socioeconomic monitoring
- selecting the relevant indicators
- defining the process to conduct the socioeconomic monitoring
- identifying and consulting with stakeholders
- identifying the monitoring team;
1.3 How does SocMon work?

(2) Data collection through secondary sources;
(3) Data collection through key informants;
(4) Data collection through household interviews;
(5) Data collection through observation; and
(6) Data analysis and communication
1.4 Who are the target audience for SocMon?

• The target audience for SocMon is coastal managers, including the staff managing coastal areas, local government authorities, non-governmental organizations and local people (e.g. community organizations, fisheries associations).

• Secondary audiences include academics and international and regional organizations.
1.5 What are SocMon’s Limitations?

- SocMon is a basic set of guidelines.
- It does not cover all the possible indicators for socioeconomic monitoring
- SocMon also does not provide detail on how to collect data (e.g. how to conduct an interview).
Other Limitations SocMon

1. Limited period for gaining community rapport
2. Missing seasonal activity
3. Dependent on the experience of researchers
4. Communication barriers
1.6 How is SocMon Organized?

SocMon Coordinators at a glance

Peter Edwards  Maria Pena  Vineeta Hoon  Arie Sanders  Michael Pido  Brigid Mibe  Brooke Nevitt

Visit the SocMon website at www.socmon.org
Previous Global and Regional Coordinators

SocMon Coordinators at a glance

Christy Loper  Maria Pena  Arie Sanders  Caroline Vieux  Vineeta Hoon  Michael Pido  Innocent Wanyonyi

Visit the SocMon website at www.socmon.org
Regional Meeting for Socioeconomic Monitoring in Southeast Asia (SocMon SEA)
CIP, Quezon City, Philippines, 31 August – 2 September 2009

PSU as Regional SocMon Center
Global partnerships:

- **NOAA**, GCRMN, World Fish Center, Reef Check, IUCN, CI, WCPA-Marine

Regional partnerships:

- Caribbean: **University of West Indies**, TNC, University of Puerto Rico
- Central America: **University of Zamorano**
- SE Asia: **Palawan State University**, CI-Philippines, ACB, WorldFish Center, WWF, TNC
- Western Indian Ocean: **CORDIO**, WWF, WCS, IUCN
- Pacific: **SPREP, PIMPAC**, LMMA, CRISP, USP, SPC, TNC
- South Asia: **IUCN, CARESS**
1.7 What are SocMon Companion Guides?
SocMon ‘Addendum’ related to vulnerability and climate change

Indicators to assess community-level social vulnerability to climate change:
An addendum to SocMon and SEM-Pasifika regional socioeconomic monitoring guidelines

FIRST DRAFT FOR PUBLIC CIRCULATION AND FIELD TESTING APRIL 2011
1.8 What documents does SocMon produced?

Global Coordinator’s message
By Christy Loper

Farewell from Christy
After over six years as Global SocMon Coordinator, I am sorry to say that I am currently transitioning out of my current role. I am leaving NOAA and transitioning to become the Micronesia Program Director of Rare (www.rareconservation.org). It has been an incredible six years working with each of the SocMon regions and I will miss my colleagues very much. I’ll be based in Palau and can be reached on my new email address at cloper@rareconservation.org.

Welcome to Dr. Peter Edwards
Please join me in welcoming my friend and

Extra:

ICRS 2012 SocMon showing
By Christy Loper

Inside this issue
Global Coordinator’s message 1
ICRS 2012 SocMon showing 1
South Asia SocMon ends 3
SocMon in the Miskito region 3
SocMon goes to Halifax 4

Marine Studies from the University of Delaware, where his dissertation work focused on the economics of Jamaica’s coral reefs. Prior to working at NOAA, he had over 10 years of experience in private environmental consultancy and academia. His expertise includes economic valuation, survey development and implementation as well as economic impact analysis.
SOCIOECONOMIC CONDITIONS ALONG THE WORLD’S TROPICAL COASTS: 2008
SocMon
Monitoreo Socioeconómico de Sitios Marino-Costeros

Fotomemoria del Taller sobre Metodología Participativa de Monitoreo Socioeconómico para la Región de la Costa Norte de Honduras realizado del 27 al 30 de marzo de 2007
1.9 What tools/methods are affiliated with SocMon?

- MPA Management Effectiveness Assessment Tools (MEAT in the Philippines)
- Participatory Coastal Resource Assessments (PCRAs) and Rapid Appraisal Methods
Participatory Methods in Community-based Coastal Resource Management

A manual on RAPID APPRAISAL METHODS for coastal communities
RAFMS: Rapid appraisal of fisheries management systems
Some methods in assessment of coastal resources and environments

<table>
<thead>
<tr>
<th>Ecosystem/Habitat</th>
<th>Method of assessment</th>
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<tbody>
<tr>
<td>1. Fisheries</td>
<td>• Fish visual census</td>
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<td>• Experimental surveys</td>
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<td>• Length-based methods</td>
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<td>2. Coral reef</td>
<td>• Manta tow</td>
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<td>• Line intercept</td>
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<td>3. Mangrove</td>
<td>• Transect-quadrat technique</td>
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<td>4. Seagrass beds</td>
<td>• Transect-quadrat technique</td>
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<td>5. Soft-bottom communities</td>
<td>• Core/grab sampling</td>
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</tbody>
</table>
Outline

1. SocMon Background
2. Some SocMon Applications
3. Objectives and Scope of SocMon
4. Objectives of SocMon Myanmar Training
5. Overview of Training Program
Survey of Ornamental Fishers
Jakarta, Indonesia
Interview with Fishers
Jakarta, Indonesia
SocMon Training to selected coastal stakeholders in Vietnam
SocMon Training of Trainors workshop
Nha Trang City, Vietnam (June 2009)
Participation to produce commune map

Koh Rong commune, Cambodia
SocMon Introductory Training
(Puerto Princesa City, March 2007)
Perception mapping exercises in Verde Island Passage, Philippines
Assessment of Binduyan Fish Sanctuary
Palawan, Philippines

- Declared in 2002
- Sabang Reef about 40 ha
- 38% live coral cover (2004)
SocMon Methodology Training (MinSCAT, Bongabong, Oriental Mindoro)
Outline

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5. Overview of Training Program
Several types of ‘goals’

1. Management
2. Research
3. Development
4. Monitoring
5. Policy
Objectives of Doing SocMon

1. Identifying of threats, problems, solutions and opportunities

2. Determining the importance, value and cultural significance of resources and their uses

3. Assessing positive and negative impacts of coastal management measures

4. Assessing how the management body is doing (management effectiveness)
Objectives of Doing SocMon (cont…)

5. Verifying and documenting assumptions of socioeconomic conditions in the area, community dynamics and stakeholder perceptions

6. Building stakeholder participation and appropriate education and awareness programs.

7. Establishing baseline household and community profiles
The SocMon Process

Six-step process

ADVANCE PREPARATION

SECONDARY SOURCE

KEY INFORMANT

HOUSEHOLD INTERVIEWS

DATA COLLECTION

OBSERVATION

DATA ANALYSIS & COMMUNICATION
Methods of Data Collection

1. Secondary sources
2. Key informant interviews
3. Household interviews
4. Observation
70 SocMon Indicators/indicators

- Key Informant / Secondary Source indicators - 32
- Household Interview indicators - 28
- Climate change - 10
Data Analysis

1. Compile/encode all the data
2. Process the data
3. Interpret the data
4. Agree on key learning
5. Validate the findings
Users of SocMon Results

• The target audience for SocMon is coastal managers, including the staff managing coastal areas, local government authorities, non-governmental organizations and local people (e.g. community organizations, fisheries associations).

• Secondary audiences include academics and international and regional organizations.
Use results for adaptive management

• In order to improve management, planning, impacts and accountability

• Adaptive management is learning-by-doing

• Relies on feedback and learning from research and experimentation to improve management
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Training goal

Develop capacity to improve the understanding of the socio-economic drivers and situation of coastal communities in Myanmar
Training Objectives

1. To provide the trainees with the background and methodological procedures of SocMon;

2. To ‘pilot test’ the SocMon methodology at selected coastal villages in Kyunsu Township by generating relevant field data;

3. To undertake an initial processing of the collected data at the research sites; and

4. To prepare a work plan for the application of SocMon at other selected study sites in Myanmar and/or among the other countries covered by BOBLME Project
Training Outputs/Deliverables

1. Trained pool of potential SocMon users / practitioners

2. Primary data generated at the pilot/FFI research sites

3. Partial/preliminary processing of data generated from the field exercise

4. Work plan for the application of SocMon at selected project sites of FFI and/or those study sites covered by BOBLME Project
Training Representatives, Trainors and Trainees
Project Representatives

Dr Rudolf Hermes
Chief Technical Advisor
Bay of Bengal Large Marine Ecosystem Project

Frank Momberg
Fauna and Flora International (FFI)
Trainers

• **Dr. Michael D Pido**  
  VP for Research and Extension  
  Palawan State University, Philippines  
  SocMon Regional Coordinator for South East Asia

• **Prof. Marissa S Pontillas**  
  Statistician/ socioeconomic surveys specialist  
  Palawan State University, Philippines

• **Ms Eva Marie C Ponce de Leon**  
  Marketing/livelihoods specialist  
  Palawan State University, Philippines

• **Dr. Vineeta Hoon**  
  Trustee, CARESS, Chennai, India  
  SocMon Regional Coordinator for South Asia.

• **Dr. Helen Schneider**  
  Director for Conservation, Livelihoods & Governance  
  Fauna & Flora International (FFI), UK
Mawlamyine University

Professor U Soe-Htun
Head of Marine Science Department

Dr. Htay Aung
Rector
FFI-Myanmar Programme Representatives

Mr. Zau Lunn
Marine Conservation Programme Coordinator
FFI-Myanmar Programme

Dr. Saw Han Shein
Technical resource person
FFI-Myanmar programme marine conservation project
Trainees

1. Mawlamyine University (4)
2. Myeik University (2)
3. Pathein University (2)
4. Navy (2)
5. Department of Fisheries (2)
6. Forest Department (2)
7. Biodiversity and Nature Conservation Association (BANCA) (1) (NGO)
8. Dawei Research Association (DRA) (1) (NGO)
9. Myeik Environmental Conservation Team (MECT) (1) (NGO)
10. Marine Science Association Myanmar (MSAM) (1) (NGO)
11. Myanmar Fisheries Federation (MFF) (1) (Semi government)
12. Fauna & Flora International (FFI) Myanmar Programme (1) (INGO)
Outline

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## Sessions

<table>
<thead>
<tr>
<th>Session No.</th>
<th>Title</th>
<th>Presenter(S)</th>
<th>Location</th>
<th>Time/Date</th>
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<tbody>
<tr>
<td>1</td>
<td>Objectives/Purposes of Undertaking SocMon (with Sharing of SocMon Experiences)</td>
<td>Dr. Michael Pido, PSU&lt;br&gt;Dr. Vineeta Hoon, CARESS&lt;br&gt;Dr. Helen Schneider, Director for FFI</td>
<td>Mawlamyine University</td>
<td>10:30 am&lt;br&gt;Friday, 10 January 2014</td>
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<tr>
<td>2</td>
<td>Overview of socioeconomics and marine resources utilization projects of Mawlamyine University in Myanmar / Profile of Asin Village, Ye Township</td>
<td>Professor U Soe-Htun, Head of Marine Science Department&lt;br&gt;Dr. Htay Aung, Rector, Mawlamyine University (MU)</td>
<td>Mawlamyine University</td>
<td>1:00 pm&lt;br&gt;Friday, 10 January 2014</td>
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<td>3</td>
<td>The SocMon Research Process (from Preparatory Activities to Report Writing)</td>
<td>Dr. Michael D Pido, PSU</td>
<td>Mawlamyine University</td>
<td>2:30 pm</td>
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<td>Friday, 10 January 2014</td>
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<td>4</td>
<td>SocMon Preparatory Activities and Planning</td>
<td>Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>3:30 pm</td>
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<td>Prof. Marissa S Pontillas, PSU</td>
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<td>Friday, 10 January 2014</td>
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<td>5</td>
<td>Socioeconomic Monitoring Indicators/Variables (including climate change indicators)</td>
<td>Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>9:00 am</td>
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<td></td>
<td>Prof. Marissa S Pontillas, PSU</td>
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<td>Saturday, 11 January 2014</td>
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<tbody>
<tr>
<td>6</td>
<td>Reconnaissance and Planning</td>
<td>Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>11:30 am Saturday, 11 January 2014</td>
</tr>
<tr>
<td>7</td>
<td>Reconnaissance Survey and Field Observation Guide</td>
<td>Dr. Michael D Pido, PSU</td>
<td>Mawlamyine University</td>
<td>1:00 pm Saturday, 11 January 2014</td>
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<td>8</td>
<td>Visualization and Other Participatory Resource Assessment (PRA) Tools</td>
<td>Dr. Vineeta Hoon, CARESS, Dr. Helen Schneider, FFI</td>
<td>Mawlamyine University</td>
<td>1:45 pm Saturday, 11 January 2014</td>
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## Sessions

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<th>Presentor(S)</th>
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<tr>
<td>9</td>
<td>Household Interview Questionnaire &amp; Indicators/ Variables</td>
<td>Prof. Marissa S Pontillas, PSU</td>
<td>Mawlamyine University</td>
<td>3:00 pm</td>
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<td>Saturday, 11 January 2014</td>
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<tr>
<td>10</td>
<td>Coding and Data Management for Household Interview Variables</td>
<td>Prof. Marissa S Pontillas, PSU</td>
<td>Mawlamyine University</td>
<td>9:00 am</td>
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<td></td>
<td>(Coding/Encoding Data Using Excel and SPSS)</td>
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<td>Sunday, 12 January 2014</td>
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<td>11</td>
<td>Sampling &amp; Selection of Household Informants</td>
<td>Prof. Marissa S Pontillas, PSU</td>
<td>Mawlamyine University</td>
<td>9:45 am</td>
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<td></td>
<td>Dr. Michael D. Pido, PSU</td>
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<td>Sunday, 12 January 2014</td>
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<td>12</td>
<td>Undertaking the Field Works for Data Gathering: Logistical Requirements &amp; Field Enumerator Qualities</td>
<td>Ms. Eva Marie C. Ponce de Leon, PSU, Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>10:30 am Sunday, 12 January 2014</td>
</tr>
<tr>
<td>13</td>
<td>Secondary Sources/Key Informant Indicators (KS)</td>
<td>Ms. Eva Marie C. Ponce de Leon, PSU, Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>11:15 am Sunday, 12 January 2014</td>
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<tr>
<td>14</td>
<td>The Key Informant Interview Guide for SocMon Variables</td>
<td>Prof. Marissa S. Pontillas, PSU, Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>1:00 pm Sunday, 12 January 2014</td>
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<td>15</td>
<td>Sampling and Selection of Key Informants</td>
<td>Prof. Marissa S Pontillas, PSU</td>
<td>Mawlamyine University</td>
<td>1:30 pm Sunday, 12 January 2014</td>
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<tr>
<td>16</td>
<td>The Focus Group Discussion (FGD) as Data Gathering Instrument</td>
<td>Dr. Michael D Pido, PSU</td>
<td>Mawlamyine University</td>
<td>2:30 pm Sunday, 12 January 2014</td>
</tr>
<tr>
<td>17</td>
<td>Using the FGD for SocMon Variables</td>
<td>Ms. Eva Marie dC Ponce de Leon, PSU</td>
<td>Mawlamyine University</td>
<td>3:30 pm Sunday, 12 January 2014</td>
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<td>18</td>
<td>FGD Data Summary and Usage</td>
<td>Ms. Eva Marie dC Ponce de Leon, PSU</td>
<td>Mawlamyine University</td>
<td>9:30 am Monday, 13 January 2014</td>
</tr>
<tr>
<td>19</td>
<td>Discussion of Field Data Gathering Instruments (Household Interviews, Focus Group Discussions, Field Observation Guide)</td>
<td>Dr. Michael D. Pido, PSU Prof. Marissa S Pontillas, PSU</td>
<td>Mawlamyine University</td>
<td>10:30 am Monday, 13 January 2014</td>
</tr>
<tr>
<td>20</td>
<td>Field Exercise on Gathering Data through Household Interview(HHI Questionnaire, Key Informant Interview(KII) and Focus Group Discussion(FGD) and Field Observation Guide</td>
<td>Dr. Michael D. Pido, PSU Prof. Marissa S Pontillas, PSU</td>
<td>Asin Village, Ye Township</td>
<td>Tuesday - Thursday, 14-16 January 2014</td>
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<tr>
<td>Session No.</td>
<td>Title</td>
<td>Presentor(S)</td>
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<td>21</td>
<td>Sharing &amp; Processing of Participants’ Field Experiences</td>
<td>Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>8:30am Friday, 17 January 2014</td>
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<td>Dr. Vineeta Hoon, CARESS</td>
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<td>22</td>
<td>Hands-on Exercise: Coding/Encoding HHI &amp; KII Data Using Excel &amp; SPSS</td>
<td>Prof. Marissa S. Pontillas, PSU</td>
<td>Mawlamyine University</td>
<td>10:30 am Friday, 17 January 2014</td>
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<th>Title</th>
<th>Presenter(S)</th>
<th>Location</th>
<th>Time/Date</th>
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</thead>
<tbody>
<tr>
<td>23</td>
<td>Summarizing SocMon HHI and KII Data/Results using Descriptive Statistics (with Hands-on Exercise)</td>
<td>Prof. Marissa S. Pontillas, PSU</td>
<td>Mawlamyine University</td>
<td>1:00pm</td>
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<td>17 January 2014</td>
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<td>24</td>
<td>Hands-on Exercise: FGD Data Summary and Write-up</td>
<td>Prof. Marissa S. Pontillas, PSU Ms. Eva Marie Ponce de Leon, PSU</td>
<td>Mawlamyine University</td>
<td>8:30 am</td>
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## Sessions

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<thead>
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<th>Session No.</th>
<th>Title</th>
<th>Presentor(S)</th>
<th>Location</th>
<th>Time/Date</th>
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</thead>
<tbody>
<tr>
<td>25</td>
<td>Initial SocMon Report Writing</td>
<td>Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>8:00 am Monday, 19 January 2014</td>
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<td>Prof. Marissa S. Pontillas, PSU</td>
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<td>Dr. Helen Schneider, FFI</td>
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<td>26</td>
<td>Preparing Workplan for SocMon Research Projects</td>
<td>Dr. Michael D. Pido, PSU</td>
<td>Mawlamyine University</td>
<td>10:00 am Monday, 19 January 2014</td>
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<td>Prof. Marissa S. Pontillas, PSU</td>
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SocMon is also Partnership